

08-15-03

1623



PHA 4298 (3167/9Z)
PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of John P. McKearn et al.
Serial No. 09/868,437
Filed June 14, 2001
Art Unit Unknown
Confirmation No. Unknown
For USE OF A MATRIX METALLOPROTEINASE INHIBITOR AND AN INTEGRIN
ANTAGONIST AS A COMBINATION THERAPY IN THE TREATMENT OF
NEOPLASIA

August 14, 2003

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

Please note that Applicants make no representation as to the accuracy or completeness of the translations of the abstracts submitted herewith.

In preparation of this Information Disclosure Statement, Applicants determined that approximately 1,131 references were cited in the application. In an effort to reduce the burden on the Office, each reference was briefly reviewed by an attorney. This review eliminated any reference that was determined to be irrelevant or merely cumulative. If the Office would like a copy

08/18/2003 MBLANCO 00000011 09868437

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180.00 DP

of the eliminated references, they can be provided at the Office's request.

A check in the amount of \$180.00 is enclosed to cover the fee specified in 37 CFR \$1.17(p) for submission of this Information Disclosure Statement. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

* Also enclosed is Applicants' executed Power of Attorney.

Respectfully submitted,



Kathryn J. Doty, Reg. No. 40,593
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

KJD/msc
*Enclosures

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FEE TRANSMITTAL

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Confirmation No. Unknown
Inventor(s) John P. McKearn et al.
Attorney Docket Number PHA 4298(3167/9Z)

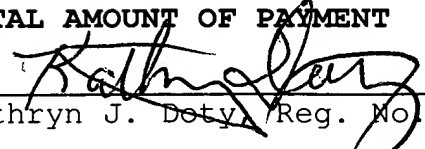
METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
- * 2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ _____
(Type: _____)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ _____
Total Claims _____
Independent Claims _____
Multiple Dependent Claims _____
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 180.00
- ☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☒ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 180.00


Kathryn J. Doty, Reg. No. 40,593 August 14, 2003
Date

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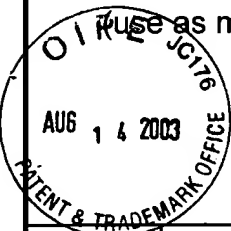
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(Use as many sheets as necessary)



Complete if Known

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Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	PHA 4298 (3167/9Z)

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1.	4,100,274		Dutta et al.	07-11-1978
	2.	4,105,681		Bollag et al.	08-08-1978
	3.	4,140,707		Cleare et al.	02-20-1979
	4.	4,215,215		Bollag et al.	07-29-1980
	5.	5,250,683		Holton et al.	10-05-1993
	6.	5,254,703		Holton	10-19-1993
	7.	5,272,171		Ueda et al.	12-21-1993
	8.	5,319,112		Kingston et al.	06-07-1994
	9.	5,344,991		Reitz et al.	09-06-1994
	10.	5,455,270		Kaplan et al.	10-03-1995
	11.	5,633,016		Johnson	05-27-1997
	12.	5,672,583		Chapman et al.	09-30-1997
	13.	5,686,419		Powers et al.	11-11-1997
	14.	5,696,131		Baguley et al.	12-09-1997
	15.	5,733,909		Black et al.	03-31-1998
	16.	5,767,142		LaVoie et al.	06-16-1998

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	15	Attorney Docket No.	PHA 4298 (3167/9Z)

	17.	5,824,699		Kreft et al.	10-20-1998
	18.	5,837,696		Golub et al.	11-17-1998
	19.	5,869,524		Failli	02-09-1999
	20.	5,952,381		Chen et al.	09-14-1999

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
	21.	DE	195 48 798	A1	Dr. Karl Thomae GmbH	07-03-1997	A
	22.	DE	196 13 933	A1	Merck Patent GmbH	10-09-1997	A
	23.	DE	196 26 701	A1	Hoechst AG	01-08-1998	A
	24.	EP	0 010 458	A1	ANVAR	04-30-1980	A
	25.	EP	0 054 168	A1	Klinge Pharma GmbH & Co.	06-23-1982	A
	26.	EP	0 095 875	A2	Farmos Group Ltd.	12-07-1983	
	27.	EP	0 165 904	A2	Ciba-Geigy AG	12-27-1985	A
	28.	EP	0 199 636	A1	C.I.R.D.	10-29-1986	A
	29.	EP	0 236 940	B1	Ciba-Geigy AG	09-16-1987	
	30.	EP	0 260 744	B1	Janssen Pharmaceutica N.V.	03-23-1988	
	31.	EP	0 299 402	A2	ASTA Pharma Aktiengesellschaft	01-18-1989	A
	32.	EP	0 331 983	A2	F. Hoffmann-La Roche AG	09-13-1989	A

Examiner Signature		Date Considered	
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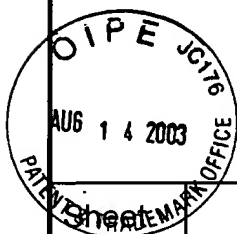
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34.	EP	0 532 156	A1	Matsuno et al.	03-17-1993	
35.	EP	0 579 915	A1	F. Hoffmann-La Roche AG	01-26-1994	A
36.	EP	0 640 594	A1	Fujirebio Inc.	03-01-1995	
37.	EP	0 702 962	A2	Eli Lilly and Company	03-27-1996	
38.	EP	0 703 239	A1	Hoechst Aktiengesellschaft	03-27-1996	A
39.	EP	0 716 086	A1	Boehringer Mannheim GMBH	06-12-1996	
40.	EP	0 743 070	A2	Trnka Mudr et al.	11-20-1996	A
41.	EP	0 758 649	A1	Kureha Chemical Industry Co., Ltd.	02-19-1997	
42.	EP	0 818 443	A2	American Cyanamid Company	01-14-1998	
43.	EP	0 921 119	A1	ADIR Et Compagnie	06-09-1999	A
44.	GB	2 282 598	A	Merck & Co., Inc.	04-12-1995	
45.	WO	87/07609	A1	Taiho Pharmaceutical Co., Ltd.	12-17-1987	A
46.	WO	93/00427	A2	Erziehungsdirektion of the Canton Zurich	01-07-1993	
47.	WO	93/11145	A1	Banyu Pharmaceutical Co., Ltd.	06-10-1993	
48.	WO	93/18652	A1	Merck & Co.	09-30-1993	
49.	WO	93/20229	A1	Genentech, Inc.	10-14-1993	
50.	WO	94/02466	A1	The Du Pont Merck Pharmaceutical Company	02-03-1994	
51.	WO	94/12169	A1	Merck & Co., Inc.	06-09-1994	

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 First Named Inventor John P. McKearn et al.
 Group Art Unit Unknown
 Examiner Name Unknown

(use as many sheets as necessary)



Sheet 4 of 15 Attorney Docket No. PHA 4298 (3167/9Z)

52.	WO	94/13635	A1	Merck Frosst Canada Inc.	06-23-1994
53.	WO	94/20480	A1	Merck Frosst Canada Inc.	09-15-1994
54.	WO	94/21612	A1	Otsuka Pharmaceutical Co., Ltd.	09-29-1994
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56.	WO	94/25466	A1	Glaxo, Inc.	11-10-1994
57.	WO	94/26731	A1	Merck Frosst Canada Inc.	11-24-1994
58.	WO	94/27980	A1	G.D. Searle & Co.	12-08-1994
59.	WO	95/02603	A2	Pharmacia S.P.A.	01-26-1995
60.	WO	95/08327	A1	The Government of the United States of America	03-30-1995
61.	WO	95/11883	A1	G.D. Searle & Co.	05-04-1995
62.	WO	95/12606	A1	The Wellcome Foundation Limited	05-11-1995
63.	WO	95/13289	A1	Chiroscience Limited	05-18-1995
64.	WO	95/15316	A1	G.D. Searle & Co.	06-08-1995
65.	WO	95/24199	A2	Cell Therapeutics, Inc.	09-14-1995
66.	WO	95/28426	A2	La Jolla Cancer Research Foundation	10-26-1995
67.	WO	95/29892	A1	The Du Pont Merck Pharmaceutical Company	11-09-1995
68.	WO	95/30652	A1	G.D. Searle & Co.	11-16-1995
69.	WO	95/30656	A1	G.D. Searle & Co.	11-16-1995
70.	WO	96/00214	A1	Ciba-Geigy AG	01-04-1996

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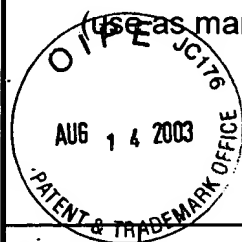
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of

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71.	WO	96/00574	A1	Smithkline Beecham Corporation	01-11-1996
72.	WO	96/00581	A1	Texas Biotechnology Corporation	01-11-1996
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74.	WO	96/03387	A1	G.D. Searle & Co.	02-08-1996
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76.	WO	96/06840	A1	Merck Frosst Canada Inc.	03-07-1996
77.	WO	96/14745	A1	The Regents of the University of California	05-23-1996
78.	WO	96/15096	A1	Bayer Corporation	05-23-1996
79.	WO	96/21667	A1	Merck Frosst Canada Inc.	07-18-1996
80.	WO	96/23791	A1	Syntex Inc.	08-08-1996
81.	WO	96/27583	A1	Pfizer Inc.	09-12-1996
82.	WO	96/33988	A1	Wisconsin Alumni Research Foundation	10-31-1996
83.	WO	96/35774	A2	The Children's Medical Center Corporation	11-14-1996
84.	WO	96/36335	A1	Pharmacia S.P.A.	11-21-1996
85.	WO	96/36612	A1	Rutgers, The State University of New Jersey	11-21-1996
86.	WO	96/36622	A1	Indena S.P.A.	11-21-1996
87.	WO	96/36623	A1	Merck Frosst Canada Inc.	11-21-1996
88.	WO	96/37469	A1	Merck Frosst Canada Inc.	11-28-1996

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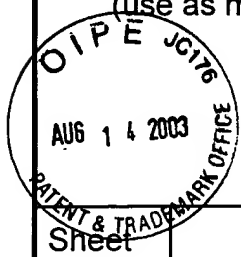
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89.	WO	97/08145	A1	G.D. Searle & Co.	03-06-1997	
90.	WO	97/11693	A1	3-Dimensional Pharmaceuticals, Inc.	04-03-1997	
91.	WO	97/11718	A1	The Victoria University of Manchester	04-03-1997	
92.	WO	97/15666	A1	The Children's Medical Center Corporation	05-01-1997	
93.	WO	97/15676	A2	Abbott Laboratories	05-01-1997	
94.	WO	97/19068	A1	Warner-Lambert Company	05-29-1997	
95.	WO	97/19954	A1	Asta Medica Aktiengesellschaft	06-05-1997	
96.	WO	97/20835	A1	Harbor Branch Oceanographic Institution, Inc.	06-12-1997	
97.	WO	97/23451	A1	Merck Patent Gesellschaft Mit Beschränkter Haftung	07-03-1997	A
98.	WO	97/23459	A1	Warner-Lambert Company	07-03-1997	
99.	WO	97/23480	A1	The Du Pont Merck Pharmaceutical Company	07-03-1997	
100.	WO	97/24116	A2	Allergan	07-10-1997	
101.	WO	97/29106	A1	Rutgers, The State University of New Jersey	08-14-1997	
102.	WO	97/31936	A2	Wisconsin Alumni Research Foundation	09-04-1997	
103.	WO	97/33887	A1	The Du Pont Merck Pharmaceutical Company	09-18-1997	

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104.	WO	97/34608	A1	Mayo Foundation for Medical Education and Research	09-25-1997
105.	WO	97/36497	A2	Mars, Incorporated	10-09-1997
106.	WO	97/36860	A1	G.D. Searle & Co.	10-09-1997
107.	WO	97/36863	A1	Merck Frosst Canada Inc.	10-09-1997
108.	WO	97/37658	A1	Boehringer Mannheim Italia S.P.A.	10-16-1997
109.	WO	97/41844	A1	Alcon Laboratories, Inc.	11-13-1997
110.	WO	97/44315	A1	Warner-Lambert Company	11-27-1997
111.	WO	97/47296	A2	Mount Sinai Hospital Corporation	12-18-1997
112.	WO	97/47599	A1	Fujisawa Pharmaceutical Co., Ltd.	12-18-1997
113.	WO	97/49704	A1	Janssen Pharmaceutica N.V.	12-31-1997
114.	WO	98/03166	A1	Monsanto Company	01-29-1998
115.	WO	98/03484	A1	Merck Frosst Canada Inc.	01-29-1998
116.	WO	98/05635	A1	Chiroscience Limited	02-12-1998
117.	WO	98/07432	A1	American Home Products Corporation	02-26-1998
118.	WO	98/07433	A1	Bristol-Myers Squibb Company	02-26-1998
119.	WO	98/08814	A1	The Procter & Gamble Company	03-05-1998
120.	WO	98/08850	A1	The Procter & Gamble Company	03-05-1998
121.	WO	98/12181	A1	Rutgers, The State University of New Jersey	03-26-1998

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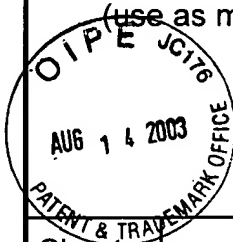
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Sheet	8	of	15	Attorney Docket No.	PHA 4298 (3167/9Z)
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122.	WO	98/13350	A1	Zeneca Limited	04-02-1998
123.	WO	98/14188	A1	Ilex Oncology Inc.	04-09-1998
124.	WO	98/16227	A1	G.D. Searle & Co.	04-23-1998
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First Named Inventor	John P. McKearn et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	PHA 4298 (3167/9Z)



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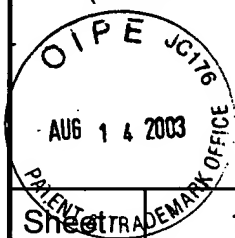
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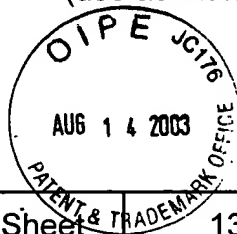
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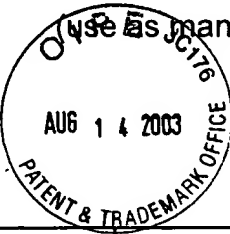
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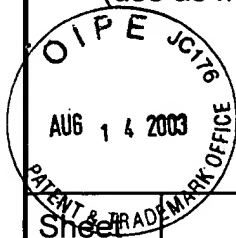
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